

Principal Investigator's Statement of Exemption

Exemptions

The rDNA experiments listed below are exempt from NIH Guidelines (Section III F) and therefore do not require IBC approval (or completion of "Application for the Use of Recombinant DNA in Research"):

- A. Experiments using DNA that is not in an organism or virus. [Section III, F-1 of the NIH Guidelines]
- B. Experiments using DNA segments from a single nonchromosomal or viral DNA source. [Section III, F-2 of the NIH Guidelines]
- C. Experiments using DNA entirely from a prokaryotic host propagated only in that host. [Section III, F-3 of the NIH Guidelines]
- D. Experiments using DNA entirely from an eukaryotic host propagated only in that host. [Section III, F-4 of the NIH Guidelines]
- E. Experiments (exclusively) using DNA segments from different species that exchange DNA by known physiologic processes [Section III, F-5 of the NIH Guidelines].
- F. Experiments using DNA that are exempt under Appendix C-II of the NIH Guidelines

If the experiments that are proposed are exempt according to the NIH Guidelines, do not complete "Application for the Use of Recombinant DNA in Research," instead complete the "Principal Investigator's Statement of Exemption" and return it to the Office of Research, attention BSO.

Principal Investigator's Statement of Exemption

The following is a list of exempt activities for the use of rDNA under the NIH Guidelines (April 2002), Section III F. For more details, reference the NIH Guidelines. Check the appropriate box corresponding to the type of experiment(s) that you propose to conduct:

- Experiments using DNA that is not in an organism or virus. [Section III, F-1 of the NIH Guidelines]
- Experiments using DNA segments from a single nonchromosomal or viral DNA source. [Section III, F-2 of the NIH Guidelines]
- Experiments using DNA entirely from a prokaryotic host propagated only in that host. [Section III, F-3 of the NIH Guidelines]
- Experiments using DNA entirely from an eukaryotic host propagated only in that host. [Section III, F-4 of the NIH Guidelines]
- Experiments (exclusively) using DNA segments from different species that exchange DNA by known physiologic processes. [Section III, F-5 of the NIH Guidelines].
- Experiments using DNA that are exempt under Appendix C-II of the NIH Guidelines.

Submit this statement to the IBC (in care of the Office of Research, attn. BSO) prior to initiating the experiment(s).

By submitting this statement to the IBC, I confirm that the project for which I am proposing to undertake is exempt from NIH Guidelines and that I acknowledge if non-exempt agents will be used, I will submit "Application for the Use of Recombinant DNA in Research" prior to acquisition/use of those agents.

Signature: _____